



## Awards and Rankings in 2004

### ■ Awards

Asset-backed Securities Deal of the Year  
Equity Deal of the Year  
Equity Deal of the Year, 2nd Runner-Up  
Equity-linked Bond Domestic Deal of the Year  
IPO of the Year, 2nd Runner-Up  
IPO Deal of the Year (Shinsei Bank)  
Samurai Deal of the Year: #1 (Renault)  
Securitization Deal of the Year: #1 (Daiichi Seimei)  
Equity Finance Deal of the Year: #2 (Shinsei Bank IPO)  
Straight Bond Deal of the Year: #2 (Matsushita Electric Works)

Thomson DealWatch  
Thomson DealWatch  
Thomson DealWatch  
Thomson DealWatch  
Thomson DealWatch  
Asiamoney  
Nikkei Bonds and Financial Weekly  
Nikkei Bonds and Financial Weekly  
Nikkei Bonds and Financial Weekly  
Nikkei Bonds and Financial Weekly

### ■ Analyst Ranking

- Nikkei Financial Daily 1st Ranked Analysts

#### Sector

Consumer Electronics  
Electronic components  
Chemicals  
Trading companies  
Broadcasting/Leisure/Other Services

#### Analyst

Kiyotaka Teranishi  
Hisanori Shimoi  
Takao Kanai  
Kenichiro Yoshida  
Kenichiro Yoshida

- The Weekly Economist 1st Ranked Sectors

#### Sector

Consumer Electronics  
Electronic components  
Pharmaceuticals  
Chemicals  
Banks  
Trading companies

#### Analyst

Kiyotaka Teranishi  
Hisanori Shimoi  
Hidemaru Yamaguchi  
Takao Kanai  
Hironari Nozaki  
Kenichiro Yoshida

■ **Company Ranking (Nihon Keizai Shimbun)**

● **Analyst Rankings by Sectors (Total)**

Rank	Firm	Points
1	Daiwa	16,094
2	Nomura	14,262
3	Deutsche Securities	12,131
4	UBS	12,067
5	Nikko Citigroup	10,781
6	Morgan Stanley	9,332
7	Mitsubishi	9,213
8	Mizuho	8,609
9	Goldman Sachs	7,270
10	UFJ Tsubasa	5,634

● **Analyst and Strategist Ranking by 5 Categories**

Rank	Firm	Point
1	Daiwa	19,682
2	Nomura	15,256
3	Deutsche Securities	13,645
4	UBS	13,341
5	Nikko Citigroup	12,303
6	Mizuho	10,924
7	Mitsubishi	10,816
8	Morgan Stanley	9,979
9	Goldman Sachs	7,824
10	UFJ Tsubasa	5,730

■ **Thomson Financial League Tables**

● **All Issuers - All International Yen Issues\*\***

Bookrunner Top 5

Rank	Company	JPY(m)
1	Nomura	718,136
2	Daiwa Securities SMBC	397,724
3	Nikko Citigroup	366,337
4	Mizuho	346,891
5	Deutsche Bank	241,622

● **US Issuers - All International Yen Issues\*\***

Bookrunner Top 5

Rank	Company	JPY(m)
1	Nomura	246,580
2	Mizuho Financial Group	197,500
3	JP Morgan	137,500
4	Mitsubishi UFJ Financial Group	132,500
5	Nikko Citigroup	119,300

● **US Issuers - All Global and Euromarket Yen Issues\*\***

Bookrunner Top 5

Rank	Company	JPY(m)
------	---------	--------

1	Nomura	169,980
2	Nikko Citigroup	119,300
3	Mitsubishi UFJ Financial Group	25,000
4	Daiwa Securities SMBC	25,000
5	JP Morgan	17,500

• **Global Equity & Equity - related – Japan Bookrunner Top 5 \***

Bookrunner Top 5

Rank	Company	Transaction Amount (US\$ million)
1	Nomura	16,026.60
2	Daiwa Securities SMBC	11,642.20
3	Nikko Citigroup	8,996.30
4	UBS	4,849.20
5	Mizuho Financial Group	3,275.00

• **Global Common Stock - Japan\***

Bookrunner Top 5

Rank	Company	Transaction Amount (US\$ million)
1	Nomura	12,179.50
2	Daiwa Securities SMBC	9,292.80
3	Nikko Citigroup	7,794.50
4	UBS	3,469.40
5	Merrill Lynch	1,753.10

• **Global Initial Public Offerings - Japan\***

Bookrunner Top 5

Rank	Company	Transaction Amount (US\$ million)
1	Nomura	5,168.70
2	Nikko Citigroup	2,413.30
3	Daiwa Securities SMBC	2,213.20
4	UBS	1,823.10
5	Morgan Stanley	903

• **Global Secondary Offerings - Japan\***

Bookrunner Top 5

Rank	Company	Transaction Amount (US\$ million)
1	Daiwa Securities SMBC	7,079.60
2	Nomura	7,010.80
3	Nikko Citigroup	5,381.20
4	Merrill Lynch	1,753.10
5	UBS	1,646.30